

## PATENT COOPERATION TREATY

PCT

From the INTERNATIONAL SEARCHING AUTHORITY

To:  
BLAKELY, SOKOLOFF, TAYLOR  
& ZAFMAN LLP  
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Los Angeles, California 90025  
UNITED STATES OF AMERICA

ENTERED

JUL 27 2004

STATUS OBSOLETE

INVITATION TO PAY ADDITIONAL FEES

(PCT Article 17(3)(a) and Rule 40.1)

Date of mailing  
(day/month/year) 19/07/2004

Applicant's or agent's file reference  
6470.P001XPCT

PAYMENT DUE within 45 ~~days~~ days  
from the above date of mailing

International application No.  
PCT/US2004/000408

International filing date  
(day/month/year) 19/07/2004

RECEIVED

JUL 26 2004

Applicant

UNSPAM, LLC.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
LOS ANGELES

## 1. This International Searching Authority

- (i) considers that there are 2 (number of) inventions claimed in the international application covered by the claims indicated ~~below~~ on the extra sheet:

and it considers that the international application does not comply with the requirements of unity of invention (Rules 13.1, 13.2 and 13.3) for the reasons indicated ~~below~~ on the extra sheet:

- (ii) ☒ has carried out a partial international search (see Annex) ☐ will establish the international search report on those parts of the international application which relate to the invention first mentioned in claims Nos.:  
1 - 82, 86 - 88

- (iii) will establish the international search report on the other parts of the international application only if, and to the extent to which, additional fees are paid

## 2. The applicant is hereby invited, within the time limit indicated above, to pay the amount indicated below:

EUR 1.550,00 x 1 = EUR 1.550,00  
Fee per additional invention number of additional inventions total amount of additional fees

Or, \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

The applicant is informed that, according to Rule 40.2(c), the payment of any additional fee may be made under protest, i.e., a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fee is excessive.

3. ☐ Claim(s) Nos. \_\_\_\_\_ have been found to be unsearchable under Article 17(2)(b) because of defects under Article 17(2)(a) and therefore have not been included with any invention.

Name and mailing address of the International Searching Authority



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Authorized officer

*A. Barrio*  
Ainhoa Barrio Baranano

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-82, 86-88

A method and a system for requesting information about entries of a do-not-contact list

2. Claims: 83-85

A method for protecting a do-not-contact list

The lack of unity becomes apparent a posteriori after taking document XP002286061 (D1) into consideration (PCT Guidelines 10.03). The cited document discloses a do not contact list (do-not-email list) (see D1, paragraph 4).

With the reference to the prior art document, the first group yields the potential special technical feature of encrypting consumer contact information, hence solving the object problem of distributing a list containing the entries in clear text.

With the reference to the prior art document, the second group yields the potential special technical feature of implanting false records, hence solving the object problem that the list could be compromised (see description page 16, paragraph 55, lines 1-3).

Consequently, neither the objective problems underlying the subjects of the 2 claimed inventions, nor the solutions as defined by the special technical features described allow for the link of a common inventive concept to be established between said inventions. In conclusion therefore the 2 groups of claims are not linked by a single general inventive concept. The application hence does not meet the requirements of unity of invention as defined in Rule 13 .1 and 13.2 of the PCT.

**Annex to Form PCT/ISA/206  
COMMUNICATION RELATING TO THE RESULTS  
OF THE PARTIAL INTERNATIONAL SEARCH**

International Application No  
**PCT/US2004/000408**

1. The present communication is an Annex to the invitation to pay additional fees (Form PCT/ISA/206). It shows the results of the international search established on the parts of the international application which relate to the invention first mentioned in claims Nos.:

**1-82, 86-88**  
2. This communication is not the international search report which will be established according to Article 18 and Rule 43.

3. If the applicant does not pay any additional search fees, the information appearing in this communication will be considered as the result of the international search and will be included as such in the international search report.

4. If the applicant pays additional fees, the international search report will contain both the information appearing in this communication and the results of the international search on other parts of the international application for which such fees will have been paid.

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
<b>X</b>	<p>BEN LIVINGSTON (BEN@DRIZZLESPAM.COM): "Possible modifications to Washington "anti-spam" law " INTERNET NEWSGROUP, 'Online! 31 January 2002 (2002-01-31), XP002286061 misc.legal.moderated, misc.legal.computing Retrieved from the Internet: &lt;URL:http://groups.google.nl/groups?q=%22 do+not++email+list+%22&amp;hl=en&amp;lr=&amp;ie=UTF-8&amp; as_drrb=b&amp;as_mind=12&amp;as_minm=5&amp;as_miny=198 l&amp;as_maxd=23&amp;as_maxm=1&amp;as_maxy=2003&amp;selm=e pjj5u45jv7kb16g9oilgbhttuimm4de5p%40news.r ev.net&amp;rnum=6&gt; 'retrieved on 2004-06-11! the whole document</p> <p align="center">---</p>	<b>1-82, 86-88</b>
<b>A</b>	<p>US 6 130 937 A (FOTTA KEITH ALAN) 10 October 2000 (2000-10-10) cited in the application abstract column 3, line 65 -column 4, line 24 column 6, line 18 - line 62 figure 6</p> <p align="center">---</p> <p align="center">-/--</p>	<b>1-82, 86-88</b>

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

° Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*G\* document member of the same patent family

**Annex to Form PCT/ISA/206  
COMMUNICATION RELATING TO THE RESULTS  
OF THE PARTIAL INTERNATIONAL SEARCH**

International Application No  
**PCT/US2004/000408**

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
L	<p>HALLAM-BAKER, PHILLIP: "‘Asrg! Re: ‘Politech! Congress finally poised to vote on anti -spam bill ‘sp! " IETF ARGF MAILING LIST, ‘Online! 21 November 2003 (2003-11-21), XP002286062 Retrieved from the Internet: &lt;URL:http://66.102.11.104/search?q=cache:dKI3dea3IMkJ:https://lists.lab.net/archive/cypherpunks-exploder/Week-of-Mon-20031117/012315.html+asrg+%22do+not+spam+list%22hal lam+baker+21&amp;hl=en&amp;ie=UTF-8&gt; ‘retrieved on 2004-06-25! The author of the document states that he implemented and published a hash based do-not-spam list in 1995. the whole document</p> <p align="center">---</p>	1-82, 86-88
P,X	<p>DAVID SACHS: "Charles Hudson’s Weblog" INTERNET CITATION, ‘Online! 6 June 2003 (2003-06-06), XP002286063 Retrieved from the Internet: &lt;URL:http://www.charleshudson.net/weblog/archives/000067.html&gt; ‘retrieved on 2004-06-11! the whole document</p> <p align="center">---</p>	1-82, 86-88
A	<p>WO 01/18631 A (MEDICAL DATA SERVICES GMBH ;ELFERING INGO (US)) 15 March 2001 (2001-03-15) abstract page 5, line 1 -page 13, line 13</p> <p align="center">---</p>	1-82, 86-88
A	<p>US 2002/052921 A1 (MORKEL ANDRE) 2 May 2002 (2002-05-02) abstract</p> <p align="center">-----</p>	1-82, 86-88

# Patent Family Annex

Information on patent family members

International Application No

PCT/US2004/000408

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
US 6130937	A	10-10-2000	CA	2288638 A1		12-11-1998
			EP	0997029 A1		03-05-2000
			WO	9851062 A1		12-11-1998
<hr/>						
WO 0118631	A	15-03-2001	WO	0118631 A1		15-03-2001
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US 2002052921	A1	02-05-2002	AU	7148901 A		08-01-2002
			WO	0201783 A2		03-01-2002
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